

PATENT APPLICATION SERIAL NO.

09/888500

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
Fee Record Sheet

07/20/2001 UEDUVIJE 00000096 09889500

01 FC:970

860.00 OP

PTO-1556  
(5/87)

Form PTO-1390 (REV 10-95)		U.S. Department of Commerce Patent and Trademark Office	
		ATTORNEY'S DOCKET NUMBER FMW-JJ-PCT-US	
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/889500</b>	
INTERNATIONAL APPLICATION NO. PCT/EP00/00421	INTERNATIONAL FILING DATE 20 January 2000	PRIORITY DATE CLAIMED 22 January 1999	

**TITLE OF INVENTION: DISK MOTOR WITH BEARING PRESTRESSING FEATURE**

APPLICANT(S) FOR DO/EO/US: EHRFELD, Wolfgang; STOLTING, Hans-Dieter; MICHEL, Frank;  
NIENHAUS, Matthias, KLEEN, Stephan

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Article 22 and 39(1)).
4.  A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2))
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

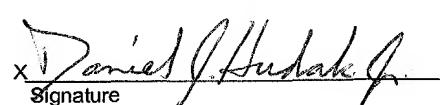
**Items 11. to 16. below concern document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A FIRST preliminary amendment.  
A SECOND or SUBSEQUENT preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:

Received PCT/PTO 17 JUL 2001

Annex US.II, Page 2

PCT Applicant's Guide – Volume II – National Chapter – US

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/EP00/00421	ATTORNEY'S DOCKET NUMBER FMW-JJ-PCT-US																
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY																
<b>BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5))</b>																		
Search Report has been prepared by the EPO or JPO ..... \$860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$710.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,000.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00																		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>																		
<b>\$860.00</b>																		
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e))																		
20 <input type="checkbox"/> 30 <input type="checkbox"/> \$																		
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>8-20=</td> <td>0</td> <td>X\$18.00</td> </tr> <tr> <td>Independent Claims</td> <td>1-3=</td> <td>0</td> <td>X\$80.00</td> </tr> <tr> <td colspan="3"><b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b></td> <td>+\$270.00</td> </tr> </tbody> </table>			CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	8-20=	0	X\$18.00	Independent Claims	1-3=	0	X\$80.00	<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>			+\$270.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE															
Total Claims	8-20=	0	X\$18.00															
Independent Claims	1-3=	0	X\$80.00															
<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>			+\$270.00															
<b>TOTAL OF ABOVE CALCULATION =</b>																		
<b>\$860.00</b>																		
Reduction of $\frac{1}{2}$ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28)																		
<b>SUBTOTAL =</b>																		
<b>\$860.00</b>																		
Processing fee of <b>\$130.00</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).																		
20 <input type="checkbox"/> 30 <input type="checkbox"/> \$0																		
<b>TOTAL NATIONAL FEE =</b>																		
<b>\$860.00</b>																		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property																		
+ <b>\$0.00</b>																		
<b>TOTAL FEES ENCLOSED =</b>																		
<b>\$860.00</b>																		
Amount to be refunded: \$																		
Charged: \$																		
a. <input checked="" type="checkbox"/> A check in the amount of <b>\$860.00</b> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit No. <b>08-3150</b> . A duplicate copy of this sheet is enclosed.																		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																		
SEND ALL CORRESPONDENCE TO:																		
Daniel J. Hudak, Jr. Hudak & Shunk Co., L.P.A. 7 West Bowery Street, Suite 808 Akron, Ohio 44308-1133 (330) 535-2220																		
 Signature Daniel J. Hudak Jr. Name 47,669 Registration Number																		

09/889500

CERTIFICATE UNDER 37 C.F.R. §1.10 OF MAILING  
BY "EXPRESS MAIL" 18 Rec'd PCT/PTO 17 JUL 2001

Applicant: Wolfgang Ehrfeld et al.  
International Application No.: PCT/EP00/00421  
International Filing Date: 20 January 2000  
Title: DISK MOTOR WITH BEARING PRESTRESSING  
FEATURE  
Agent's Reference No.: FMW-JJ-PCT-US

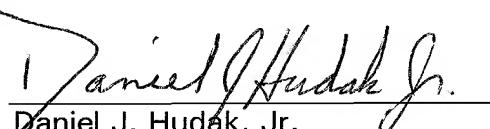
EXPRESS MAIL RECEIPT NO.: EL808314435US

DATE OF DEPOSIT: July 17, 2001

The undersigned hereby certifies that the attached papers were deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Box PCT, Commissioner of Patents and Trademarks, Washington, D.C. 20231.

HUDAK & SHUNK CO., L.P.A.

By:

  
\_\_\_\_\_  
Daniel J. Hudak, Jr.  
Agent for Applicant

DJH/lb

Enclosures: Express Mail Certification  
Return postcard  
Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US)  
Concerning a filing under 35 USC §371  
Filing Fee by check of \$860.00  
Unexecuted Declaration for Utility or Design Patent Application  
Copy of Request (3 pages)  
Copy of International Application Published under the PCT  
WO 00/44082 (Search Report attached)  
Translation of the International Application Published under PCT  
Copy of the International Preliminary Examination Report  
Information Disclosure Statement, PTO-Form 1449, 6 references  
Preliminary Amendment